

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 06439/HG
INTERNATIONAL APPLICATION NO. PCT/JP2005/002884	INTERNATIONAL FILING DATE 23 FEBRUARY 2005	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>107588810</b>
PRIORITY DATE CLAIMED 24 FEBRUARY 2004		
TITLE OF INVENTION <b>AMINO ALCOHOL COMPOUND</b>		
APPLICANT(S) FOR DO/EO/US <b>Takahide NISHI; Toshiyasu TAKEMOTO; Shojiro MIYAZAKI; Takaichi SHIMOZATO; Futoshi NARA; Takashi IZUMI</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:            (1) CERTIFIED COPY OF JP 2004-048205            (2) COPY OF REQUEST FOR PCT/JP2005/002884            (3) COPY OF FORM PCT/IB/301 FOR PCT/JP2005/002884            (4) COPY OF FORM PCT/ISA/237 FOR PCT/JP2005/002884            (5) COPY OF FORM PCT/IB/308 (FIRST NOTICE) FOR PCT/JP2005/002884            (6) COPY OF FORM PCT/IB/304 FOR PCT/JP2005/002884            (7) COPY OF WO 2005/079788A1, THE PUBLISHED APPLICATION OF PCT/JP2005/002884            (8) REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION         </p>		
Express Mail Label No. EV 919 339 585 US DATE OF DEPOSIT: AUGUST 9, 2006 I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  Dorothy DeFrancesco		

IAP11 Rec'd PCT/PTO 09 AUG 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/588818</b>		INTERNATIONAL APPLICATION NO. PCT/JP2005/002884		ATTORNEY'S DOCKET NUMBER <b>06439/HG</b>	
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400.00	
<b>TOTAL OF 21, 22 and 23 =</b>				\$ 900.00	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
120 - 100 =	20 /50 =	1		x \$250	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ .00	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims		32 - 20 =	12	x \$ 50	\$ 600.00
Independent claims		3 - 3 =	0	x \$200	\$ .00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1750.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
				<b>SUBTOTAL =</b> \$ 1750.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ .00	
				<b>TOTAL NATIONAL FEE =</b> \$ 1750.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
				<b>TOTAL FEES ENCLOSED =</b> \$ 1790.00	
				Amount to be refunded:	\$ .00
				Amount to be charged:	\$ .00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
CUSTOMER NO. 01933					
Richard S. Barth					
Frishau, Holtz, Goodman & Chick P.C.					
220 5TH AVE FL 16					
NEW YORK NY 10001-7708					
AUGUST 9, 2006					
Richard Barth					
NAME					
28,180					
REGISTRATION NUMBER					

10/58818  
IAP11 Rec'd PCT/PTO 09 AUG 2006

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicants : Takahide NISHI et al.

Serial No. : To be assigned (U.S. National  
Phase of PCT/JP2005/002884)

Filed : Concomitantly Herewith

For : AMINO ALCOHOL COMPOUND

Docket No. : 06439/HG

Customer No.: 01933

**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ATTENTION: MAIL STOP PCT**

S I R :

It is requested that the following assignment information be published as part of the 18-month publication of the present application:

SANKYO COMPANY, LIMITED  
5-1 Nihonbashi Honcho 3-chome  
Chuo-ku, Tokyo 103-8426 Japan

Respectfully submitted,



Richard S. Barth  
REG. NO. 28,180

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.  
220 FIFTH AVENUE - 16TH FLOOR  
NEW YORK, NEW YORK 10001-7708  
Tel. Nos. (212) 319-4900  
(212) 319-4551/Ext. 219  
Fax No. (212) 319-5101  
E-Mail Address: BARTH@FHGC-LAW.COM  
RSB/ddf

Express Mail Mailing Label  
No.: EV 919 339 585 US  
Date of Deposit: August 9, 2006  
I hereby certify that this paper is  
being deposited with the United States  
Postal Service "Express Mail Post  
Office to Addressee" service under  
37 CFR 1.10 with sufficient postage  
on the date indicated above and is  
addressed to  
MAIL STOP PCT  
Commissioner for Patents,  
P.O. Box 1450,  
Alexandria, VA 22313-1450

  
Dorothy DeFrancesco

In the event that this Paper is late  
filed, and the necessary petition  
for extension of time is not filed  
concurrently herewith, please consider  
this as a Petition for the requisite  
extension of time, and to the extent  
not tendered by check attached hereto,  
authorization to charge the extension  
fee, or any other fee required in  
connection with this Paper to  
Account No. 06-1378.

10/588818

IAP11 Rec'd PCT/PTO 09 AUG 2006

Please type a plus sign (+) inside this box → (+)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CHANGE OF  
CORRESPONDENCE ADDRESS**  
*Application*

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	To be assigned (U.S. national phase of PCT/JP2005/002884)
Filing Date	CONCOMITANTLY HEREWITH
First Named Inventor	Takahide NISHI
Group Art Unit	
Examiner Name	
Attorney Docket Number	06439/HG

Please change the Correspondence Address for the above-identified application to:

[X] Customer Number [ 01933 ] →

Type Customer Number here

*OR*

[ ] Firm or Individual Name				
Address				
Address				
City		State	ZIP	
Country				
Telephone			Fax	

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

[ ] Applicant/Inventor.  
 [ ] Assignee of record of the entire interest.  
 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  
 [X] Attorney or Agent of record.  
 [ ] Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed

Name

RICHARD S. BARTH - REG. NO. 28,180

Signature

Date

AUGUST 9, 2006

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
 Submit multiple forms if more than one signature is required. See below.

[x] Total of 1 form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450